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CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

ereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on date indicated below with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, exandria, VA 22313-1450.

ate of Deposit: January 🔼 🚶, 2004

Signature of Person Certifying: Printed Name: Steve Elliott

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re the Application of: |) Group Art Unit: 2131 |
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| Daniel M. Wong et al. | Examiner: Not yet assigned |
| Serial No.: 10/600,388 | ý)) |
| Filed: June 20, 2003 |) |
| For: METHOD AND APPARATUS FOR ENABLING DATABASE PRIVILEGES |)))) |

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450
—Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form(s) PTO/SB/08a and PTO/SB/08b. Copies of the items listed are enclosed herewith.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicants is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicants as such.

Information Disclosure Statement Filing Provision:

| \boxtimes | | S is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is |
|-------------|----------|---|
| ` ' | | e months of the filing date of the application, which is not a continued prosecution d under § 1.53(d) or (2) within three months of entry of the national stage as set forth in |
| | | 1; or (3) before the mailing of a first Office action on the merits; or (4) before the |
| | • | st Office action after filing a request for continued examination under § 1.114. Thus, no |
| • | equired. | • |
| | | However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit account referenced below. |
| | | However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required. |
| | | OS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office nerits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 1. |
| | | The fee due under 37 CFR § 1.17(p) is submitted herewith. |
| | | A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below. |
| • | or a No | OS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR otice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A r 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted |
| § 1.113 | | OS is being submitted under 37 CFR § 1.97(i), that is after a Final Action under 37 CFR otice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. |

| | STATEMENT UNDER 37 CFR § 1.97(e): |
|---------------------------|--|
| | Each item contained in this IDS was first cited in a communication from a foreign patent office |
| in a co | ounterpart foreign application not more than three months prior to the filing of this IDS. |
| | No item contained in this IDS was cited in a communication from a foreign patent office in a |
| counte | erpart foreign application, and, to the knowledge of the person signing this statement after |
| makin | g reasonable inquiry, no item of information contained in this IDS was known to any individual |
| design | nated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS. |
| | PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES: |
| | A check in the amount of is enclosed for the above fee(s). |
| \boxtimes | The Commissioner is authorized to charge the fee due under 37 CFR § 1.17(p) to the Bingham |
| McCu | tchen's Deposit Account No. 50-2518, referencing billing number OI7011293001. The |
| Comm | nissioner is authorized to credit any overpayment or to charge any underpayment to Bingham |
| McCu | tchen's Deposit Account No. 50-2518, referencing billing number 7011293001. |
| | Respectfully submitted, |
| Dated | By: January 28, 2004 By: Jeffrey 8. Smith Reg. No. 39,377 |
| Three San Fr Teleph | HAM McCUTCHEN LLP Embarcadero, Suite 1800 rancisco, CA 94111-4067 none: (650) 849-4400 x: (650) 849-4800 |

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449A/PTO
FEB 0 2 2004

Application Number 10/600,388

INFORMATION DISCLOSURE
Filing Date June 20, 2003

STATEMENT BY APPLACE NT
First Named Inventor Daniel M. Wong

Attorney Docket Number

2131

Not yet assigned

OI7011293001

Art Unit

Examiner Name

| U.S. PATENT DOCUMENTS | | | | | |
|---|--------------|--|--|--|----------------|
| Examiner Initials * | Cite No.1 | Document Number Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevar Passages or Relevant | |
| 111111111111111111111111111111111111111 | | Number - Kind Code ² (if known) | | | Figures Appear |
| | 1 | US-5,450,581 | 09/12/1995 | Bergen et al. | |
| | 2 | US- 5,684,951 | 11/04/1997 | Goldman et al. | |
| | 3 | US- 5,884,316 | 03/16/1999 | Bernstein et al. | |
| | 4 | US- 5,899,987 | 05/04/1999 | Yarom | • |
| | 5 | US- 6,145,086 | 11/07/2000 | Beilemore et al. | · |
| | 6 | US- 6,289,462 B1 | 09/11/2001 | McNabb et al. | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--|-----------------------------------|--------------------------------|-------------------------------------|--|-----|
| Examiner Cite No.1 | Cito | Foreign Patent Document | | Name of Patentee or | Pages, Columns, Lines, Where Relevant | |
| | Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>) | Publication Date MM-DD-YYYY | Applicant of Cited Document | Passages or Relevant Figures Appear | T [®] | |
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| Examiner Signature | Date Considered | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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of 2

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449B/PTO

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Sheet

(Use as many sheets as necessary)

of

| Complete if Known | | |
|------------------------|------------------|--|
| Application Number | 10/600,388 | |
| Filing Date | June 20. 2003 | |
| First Named Inventor | Daniel M. Wong | |
| Art Unit | 2131 | |
| Examiner Name | Not yet assigned | |
| Attorney Docket Number | Ol7011293001 | |

| NON PATENT LITERATURE DOCUMENTS | | | | |
|---------------------------------|--------------|---|---------|--|
| Examiner Initials * | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | |
| | 7 | Oracle8 Server Concepts, "Privileges and Roles", Release 8.0, Volume 2, June 1997, pp. 25-1 through 25-15. | | |
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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

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